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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/698,227	10/31/2003	1614	968	00400.US1		31	1

RECEIVED

CONFIRMATION NO. 1505

25533  
 PHARMACIA & UPJOHN  
 301 HENRIETTA ST  
 0228-32-LAW  
 KALAMAZOO, MI 49007

FEB 19 2004

PHARMACIA  
 GLOBAL INTELLECTUAL PROPERTY

FILING RECEIPT



\*OC000000011902215\*

Date Mailed: 02/13/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Erik Ho Fong Wong, Portage, MI;  
 Luz A. Cortes-Burgos, Saint Charles, MO;

## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/423,155 11/01/2002

## Foreign Applications

If Required, Foreign Filing License Granted: 02/13/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Compounds having both alpha7 nicotinic agonist activity and 5HT3 antagonist activity for the treatment of CNS diseases

**Preliminary Class**

514

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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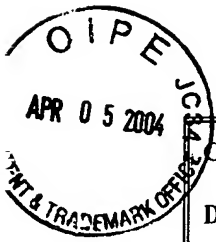
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**CERTIFICATE OF MAILING (37 CFR 1.8)**

Date of Deposit with U.S. Postal Service: \_\_\_\_\_

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Signature**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Art Unit : 1614  
Applicant(s) : EHF Wong, LACortes-Burgos, BNRogers, DWPiotrowski, DPWalker,  
EJJacobsen, BAAcker, DGWishka  
Serial No. : 10/698227  
Filed : 10/30/2003  
For : COMPOUNDS HAVING BOTH  $\alpha 7$  NICOTINIC AGONIST  
ACTIVITY AND 5HT3 ANTAGONIST ACTIVITY FOR THE  
TREATMENT OF CNS DISEASES

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

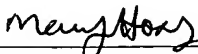
Sir:

We refer to the Filing Receipt of the above-identified application, and report that the List of Applicants is shown incorrectly. The information should read:

Erik Ho Fong Wong, Portage, MI;  
Luz A. Cortes-Burgos, Saint Charles, MO;  
Bruce Nelson Rogers, Mystic, CT;  
David W. Piotrowski, Groton Long Point, CT;  
Daniel Patrick Walker, Noank, CT;  
Eric Jon Jacobsen, Chesterfield, MO;  
Brad A. Acker, Chesterfield, MO;  
Donn G. Wishka, Groton Long Point, CT.

Applicant(s) hereby respectfully requests that a corrected filing receipt be issued.

Respectfully submitted,

  
\_\_\_\_\_  
Mary J. Hosley, Attorney  
Registration No. 48,324

Date: 29 March 2004

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Enclosure: Copy of filing receipt